**CHOP 0225** 

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: To Be Assigned Applicant: KO, Frank et al.

Filed: Herewith

Title: GENE AND CELL DELIVERY SELF EXPANDING POLYMER STENTS

T.C./A.U.: To Be Assigned Examiner: Not Yet Known

Attorney Docket Number: CHOP 0225

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b form submitted herewith. A copy of the references listed on the PTO/SB/08a and PTO/SB/08b form, other than U.S. patents, is enclosed

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

**◆ 0/589711**IAP11 Rec'd PCT/PTO 17 AUG 2006

**CHOP 0225** 

**PATENT** 

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Jonathan H. Spadt, Reg. No. 45,122 Christopher A. Rothe Reg. No. 54,650

Attorneys for Applicants

JHS/CAR/ks

Enclosures: PTO/SB/08a

PTO/SB/08b

Reference (1 - International Search Report)

Dated: August 17, 2006

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## 10/589711 IAP11 Rec'd PCT/PTO 17 AUG $2006^{\text{PCO/SB/08a}}$ (07-05) (TMB 07/2005)

INFORMATION	DISCLOSURE
STATEMENT E	BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete if Known
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	KO, Frank
Art Unit	To Be Assigned
Examiner Name	Not Yet Known
Attorney Docket No.	CHOP 0225

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,500,203	12/31/2002	THOMPSON ET AL.	
		US-6,358,275	03/19/2002	McILROY ET AL.	
	Ī	US 6,358,557	03/19/2002	WANG ET AL.	
		US-5,869,127	02/09/1999	ZHONG	
		US-2002/0045609	04/18/2002	ASHLEY ET AL.	
		US-2002/0022875	02/21/2002	STRECKER	
		US-			1
		US-			
		US-			

		FOREIGN PA	TENT DOCUMEN	rs		
F	0	Foreign Patent Document	2.15	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	Τ <sup>6</sup>

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at <a href="http://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 2 of 2

	Complete if Known	
Application Number	To Be Assigned	
Filing Date	Herewith	
First Named Inventor	KO, Frank	
Art Unit	To Be Assigned	
Examiner Name	Not Yet Known	
Attorney Docket No.	CHOP 0225	

Considered

NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²	
		International Search Report for International Application No. PCT/US04/011794, completed October 12, 2005; Issued November 14, 2005.		
		•		
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		I Date		

Signature

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